

# An early modern human from Romania with a recent Neanderthal ancestor

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Neanderthals are thought to have disappeared in Europe approximately 39,000-41,000 years ago but they have contributed 1-3% of the DNA of present-day people in Eurasia<sup>1</sup>. Here we analyse DNA from a 37,000-42,000-year-old2 modern human from Peştera cu Oase, Romania. Although the specimen contains small amounts of human DNA, we use an enrichment strategy to isolate sites that are informative about its relationship to Neanderthals and presentday humans. We find that on the order of 6-9% of the genome of the Oase individual is derived from Neanderthals, more than any other modern human sequenced to date. Three chromosomal segments of Neanderthal ancestry are over 50 centimorgans in size, indicating that this individual had a Neanderthal ancestor as recently as four to six generations back. However, the Oase individual does not share more alleles with later Europeans than with East Asians, suggesting that the Oase population did not contribute substantially to later humans in Europe.

Between 45,000 and 35,000 years ago, anatomically modern humans spread across Europe, while the Neanderthals, present since before 300,000 years ago, disappeared. How this process occurred has long been debated 1,3-5. Comparisons between the Neanderthal genome and the genomes of present-day humans have shown that Neanderthals contributed approximately 1–3% of the genomes of all people living today outside sub-Saharan Africa<sup>6,7</sup> suggesting that human populations ancestral to all non-Africans mixed with Neanderthals. The size of segments of Neanderthal ancestry in present-day humans suggests that this occurred between 37,000 and 86,000 years ago8. However, where and how often this occurred is not understood. For example, Neanderthals share more alleles with East Asians and Native Americans than with Europeans, which may reflect additional interbreeding in the ancestors of eastern non-Africans<sup>9–12</sup>. Surprisingly, analyses of present-day genomes have not yielded any evidence that Neanderthals mixed with modern humans in Europe, despite the fact that Neanderthals were numerous there and cultural interactions between the two groups have been proposed<sup>13,14</sup>.

More direct insight into the interactions between modern and archaic humans can be obtained by studying genomes from modern humans who lived at a time when they could have met Neanderthals. Recent analyses of genomes from a ~43,000–47,000-year-old modern human from western Siberia<sup>15</sup> and a ~36,000–39,000-year-old modern human from eastern Europe<sup>16</sup> showed that Neanderthal gene flow into modern humans occurred before these individuals lived. The Siberian individual's genome contained some segments of Neanderthal ancestry as large as 6 million base pairs (bp), suggesting that some Neanderthal gene flow could have occurred a few thousand years before his death<sup>15</sup>.

We report genome-wide data from a modern human mandible, Oase 1, found in 2002 in the Peştera cu Oase, Romania. The age of this specimen has been estimated to be  $\sim$ 37,000–42,000 years by direct radiocarbon dating  $^{2,17,18}$ . Oase 1 is therefore one of the earliest modern humans in Europe. Its morphology is generally modern but some aspects are consistent with Neanderthal ancestry  $^{19-21}$ . Subsequent excavations uncovered a cranium from another, probably contemporaneous individual, Oase 2, which also carries morphological traits that could reflect admixture with Neanderthals  $^{17,19}$ .

We prepared two DNA extracts from 25 mg and 10 mg of bone powder removed from the inferior right ramus of Oase 1. We treated an aliquot of each of these extracts with Escherichia coli uracil-DNA glycosylase (UDG), an enzyme that removes uracils from the interior parts of DNA molecules, but leaves a proportion of uracils at the ends of the molecules unaffected. Uracil residues occur in DNA molecules as a result of deamination of cytosine residues, and are particularly prevalent at the ends of ancient DNA molecules 9,22. Among the DNA fragments sequenced from these two extracts, 0.18% and 0.06%, respectively, could be mapped to the human reference genome. We prepared three additional DNA libraries from the extract containing 0.18% human-like molecules, but omitted the UDG treatment to increase the number of molecules in which terminal C-to-T substitutions could be seen and used to identify putatively ancient fragments. Because the fraction of endogenous DNA is so small, we used hybridization to DNA probes to isolate human DNA fragments from the libraries<sup>23</sup>. Applying this strategy to the mitochondrial genome allowed the mitochondrial (mt)DNA from the five libraries to be sequenced to an average coverage of 803-fold (Supplementary Note 1). At the 3' ends of the DNA fragments, cytosine residues appeared as thymine residues relative to the human mtDNA reference in 21% of fragments, reflecting appreciable levels of cytosine deamination. This suggests that at least some of the human mtDNA is of ancient origin. We determined mtDNA consensus sequences in two ways: using all mtDNA fragments, and using only deaminated fragments that carry C-to-T substitutions at either end relative to the consensus mtDNA sequence based on these fragments, an approach known to enrich for endogenous DNA<sup>9,24-26</sup>. The mtDNA sequence based on all fragments clusters with present-day Europeans (Extended Data Fig. 1) (Supplementary Note 1). In contrast, the mtDNA sequence based on deaminated fragments is related to a large group of present-day Eurasian mtDNAs (haplogroup N) but diverges from these before they diverged from each other. This Oase 1 mtDNA carries a few private mutations on the basis of which its age can be estimated to be 36,330 years before present (14,520-56,450; 95% confidence interval). Using six positions at which the mtDNA sequence differs from at least 99% of 311 present-day humans, we estimate the contamination

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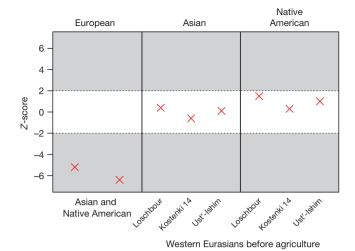


Figure 1 | Allele sharing between the Oase 1 individual and other genomes. Each point indicates the extent to which the Oase 1 genome shares alleles with one or other of a pair of genomes from different populations indicated above and below (see Extended Data Table 1 for numbers). *Z*-scores with an absolute value greater than 2 indicate an excess of allele sharing (grey).

among all mtDNA fragments to be 67% (95% confidence interval 65–69%). When we restrict to mtDNA fragments that carry terminal C-to-T substitutions, the contamination estimate is 4% (95% confidence interval of 2–9%) (Supplementary Note 1).

To isolate nuclear DNA from Oase 1, we used three sets of oligonucleotide probes that cover about two million sites that are single nucleotide polymorphisms (SNPs) in present-day humans and captured DNA molecules from the five libraries. Of the SNPs targeted, 51% (n = 1,038,619) were covered by at least one DNA fragment, and 13% (n = 271,326) were covered by at least one fragment with a terminal C-to-T substitution. To estimate nuclear DNA contamination, we tested whether Oase 1 DNA fragments with or without evidence of deamination share more alleles with present-day Europeans or with East Asians. We found that Europeans share significantly fewer alleles with Oase 1 fragments that are deaminated than with Oase 1 fragments that are not, consistent with European contamination of 17-30% (Supplementary Note 1). On the basis of these findings and those from mtDNA, we restricted all subsequent analyses to DNA fragments that carry terminal C-to-T substitutions. After doing this, we found that we captured targeted SNPs from the X and Y chromosomes at a similar rate, indicating that Oase 1 carried both an X and a Y chromosome and thus that he was male. The Y chromosome alleles belong to the F haplogroup, which is carried by most males in Eurasia today (Supplementary Note 2).

To determine the relationship of the Oase 1 individual to presentday populations, we first tested whether he shared more alleles with

particular present-day individuals from different populations using D-statistics, which provides a robust estimate of admixture almost regardless of how SNPs for analysis are chosen<sup>27</sup>. We find that Oase 1 shared more alleles with present-day East Asians and Native Americans than with present-day Europeans, counter to what might naively be expected for an ancient individual from Europe (Fig. 1)  $(5.2 \le |Z| \le 6.4$ ; Extended Data Table 1). However, it has been suggested that Europeans after the introduction of agriculture derive a part of their ancestry from a 'basal Eurasian' population that separated from the initial settlers of Europe and Asia before they split from each other<sup>28</sup>. Therefore, we replaced present-day Europeans with Palaeolithic and Mesolithic European individuals in these analyses. We then find that the Oase 1 individual shares equally many alleles with these early Europeans as with present-day East Asians and Native Americans (Fig. 1) ( $|Z| \le 1.5$  in Extended Data Table 1). Restricting this analysis to transversion polymorphisms, which are not susceptible to errors induced by cytosine deamination, does not influence this result (Extended Data Table 2 and Supplementary Note 3). This suggests that the Oase 1 individual belonged to a population that did not contribute much, or not at all, to later Europeans. This contrasts, for example, with the ~36,000-39,000-year-old Kostenki 14 individual from western Russia, who was more closely related to later Europeans than to East Asians  $(1.9 \le |Z| \le 13.7$ ; Extended Data Table 1)<sup>16</sup>.

To assess whether the ancestors of the Oase 1 individual mixed with Neanderthals, we tested whether the Altai Neanderthal genome shares more alleles with the Oase 1 genome than with sub-Saharan Africans. We find this to be the case (|Z| = 7.7; Supplementary Note 4). We then asked whether the amount of Neanderthal ancestry in the Oase 1 genome is similar to that in present-day non-Africans. Surprisingly, the Neanderthal genome shares more alleles with the Oase 1 individual than it does with any present-day people in Eurasia that we tested, indicating that he carries more Neanderthal-like DNA than presentday people (5.0  $\leq$  |Z|  $\leq$  8.2; Extended Data Table 3). We also observe more Neanderthal-like alleles in the Oase 1 individual when we compare him to four early modern humans: an 8,000-year-old individual from Luxembourg, and three individuals from Russia who vary in age between 24,000 and 45,000 years (3.6  $\leq$  |Z|  $\leq$  6.8; Extended Data Table 3). Thus, the Oase 1 individual appears to have carried more Neanderthal-like DNA than any other modern human analysed to date. This observation cannot be explained by residual present-day human contamination among the DNA fragments that carry terminal C-to-T substitutions, because all modern humans studied to date carry less Neanderthal ancestry than the Oase 1 genome, and thus contamination would lower, rather than increase, the apparent Neanderthal ancestry.

We estimated the proportion of Neanderthal DNA in the Oase 1 genome using three different statistics<sup>7,29</sup> (Supplementary Note 4). Although the results differ, they all yield point estimates between 6.0% and 9.4% (Table 1). For one of the statistics, none of the 90% confidence intervals for Neanderthal ancestry in the other modern

Table 1  $\mid$  Estimated fraction of the Oase 1 genome that derives from Neanderthals

	$\frac{\text{Statistic 1}}{f_4(\text{Denisova, Altai; Mbuti, X})} \\ \frac{f_4(\text{Denisova, Altai; Mbuti, Mezmaiskaya})}{f_4(\text{Denisova, Altai; Mbuti, Mezmaiskaya})}$			$ \begin{array}{c} \text{Statistic 2} \\ 1 - \frac{f_4(\text{Mbuti, Chimp; X, Denisova})}{f_4(\text{Mbuti, Chimp; Dinka, Denisova})} \end{array} $				Statistic 3 $f_4(X, Mbuti; Denisova, Chimp)$ $\overline{f_4(Altai, Mbuti; Denisova, Chimp)}$		
Sample	Proportion	s.e.m.	90% CI	Proportion	s.e.m.	90% CI	Proportion	s.e.m.	90% CI	
Oase 1	8.1%	2.0%	4.8-11.3%	9.4%	1.1%	7.5–11.3%	6.0%	2.0%	2.8-9.3%	
Ust'-Ishim	3.6%	0.9%	2.2-5.0%	5.5%	0.7%	4.3-6.6%	0.4%	1.2%	0.0-2.5%	
Kostenki 14	3.8%	1.0%	2.1-5.5%	2.9%	0.8%	1.6-4.2%	1.7%	1.3%	0.0-3.9%	
MA1	1.2%	1.1%	0.0-3.0%	3.5%	0.8%	2.2-4.8%	2.3%	1.3%	0.1-4.5%	
Loschbour	1.3%	0.9%	0.0-2.8%	3.9%	0.7%	2.7-5.1%	0.5%	1.2%	0.0-2.6%	
La Braña	3.1%	1.0%	1.4-4.7%	1.9%	0.7%	0.7-3.1%	1.4%	1.2%	0.0-3.4%	
Stuttgart	3.0%	0.9%	1.5-4.4%	2.5%	0.7%	1.3-3.7%	0.4%	1.2%	0.0-2.4%	
Han	2.2%	0.9%	0.6-3.7%	2.2%	0.8%	1.0-3.5%	1.0%	1.2%	0.0-3.1%	
Dai	2.6%	0.9%	1.1-4.0%	1.0%	0.8%	0.0-2.3%	0.7%	1.2%	0.0-2.6%	
French	3.0%	0.9%	1.6-4.5%	3.0%	0.7%	1.8-4.2%	0.2%	1.2%	0.0-2.2%	

Cl, confidence interval; s.e.m., standard error of the mean; negative values are truncated to 0%

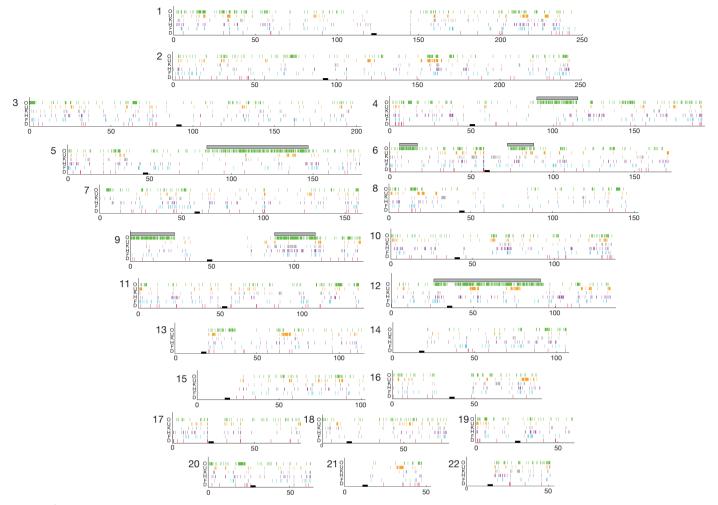


Figure 2 | Spatial distribution of alleles matching Neanderthals in modern humans. Coloured vertical lines indicate alleles shared with Neanderthals and no colour indicates alleles shared with the great majority of West Africans.

D, Dinka; F, French; H, Han; K, Kostenki 14; O, Oase 1; U, Ust'-Ishim. The seven grey bars indicate segments of putative recent Neanderthal ancestry. This analysis is based on 78,055 sites. Numbers refer to chromosomes.

human samples overlap with the confidence interval in Oase 1. When we restrict analysis to transversion SNPs, the point estimates of Neanderthal ancestry are even higher (range of 8.4% to 11.3%) (Extended Data Table 4).

To study the spatial distribution of Neanderthal DNA across the Oase 1 genome, we designed capture probes for around 1.7 million nucleotide positions at which nearly all individuals in a sub-Saharan African population carry one allele whereas Neanderthal genomes carry a different allele. We used these probes to isolate DNA fragments from the Oase 1 individual. A total of 78,055 sites were covered by deaminated DNA fragments from the Oase 1 individual and were also covered by DNA fragments sequenced from the ~36,000-39,000year-old Kostenki 14 individual from western Russia<sup>16</sup>, the ~43,000-47,000-year-old individual from Ust'-Ishim in Siberia<sup>15</sup>, and three present-day human genomes from China, France and Sudan (Supplementary Note 5). Because the Dinka from Sudan are thought to have little or no Neanderthal ancestry, we subtracted the number of alleles that match the Neanderthals in the Dinka individual (485) from the number in the other genomes to estimate the number of alleles attributable to Neanderthal ancestry. The resulting numbers of putative Neanderthal alleles are 3,746 in the Oase 1 individual, 1,586 and 1,121 in the Ust'-Ishim and Kostenki 14 individuals, respectively, and 1,322 and 1,033 in the Chinese and the European individuals (Extended Data Table 5). Thus, the Neanderthal contribution to the Oase 1 genome appears to be between 2.3- and 3.6-fold larger than to the other genomes analysed. Assuming that the Neanderthal contribution to the European individual is 2% (ref. 7), this suggests that 7.3% of the Oase 1 genome is of Neanderthal origin. When the numbers of alleles matching the Neanderthal genome are compared per chromosome (Extended Data Table 5), the highest numbers are always observed for the Oase 1 genome, except in the case of chromosome 21, in which the Ust'-Ishim individual carries a large segment of likely Neanderthal ancestry.

We plotted the positions of Neanderthal-like alleles across the Oase 1 genome (Fig. 2). We detect three segments that are over 50 centimorgans (cM) in size, suggesting that the Neanderthal contribution to the Oase 1 individual occurred so recently in his family tree that chromosomal segments of Neanderthal origin had little time to break up due to recombination. To estimate the date of the most recent Neanderthal contribution to the Oase 1 genome, we studied the size spans of seven segments of the genome that appeared to be recently derived from Neanderthals. Their genetic lengths suggest that the Oase 1 individual had a Neanderthal ancestor as a fourth-, fifth- or sixth-degree relative (Supplementary Note 5). This would predict that an average of 1.6% to 6.3% of the Oase 1 genome derived from this recent Neanderthal ancestor. Visual inspection of the Oase 1 genome suggests that in addition to these seven segments, other smaller segments also carry Neanderthal-like alleles (Fig. 2). When we remove the seven longest segments, the estimate of Neanderthal ancestry in Oase 1 drops from 7.3% to 4.8%, which is still around twice the 2.0-2.9% estimated for the French, Han, Kostenki and Ust'-Ishim individuals in this remaining part of the genome. This additional Neanderthal ancestry

could reflect an older Neanderthal admixture into the ancestors of Oase 1, or that we failed to find all segments of recent Neanderthal ancestry.

The Oase 1 genome shows that mixture between modern humans and Neanderthals was not limited to the first ancestors of present-day people to leave Africa, or to people in the Near East; it occurred later as well and probably in Europe. The fact that the Oase 1 individual had a Neanderthal ancestor removed by only four to six generations allows this Neanderthal admixture to be dated to less than 200 years before the time he lived. However, the absence of a clear relationship of the Oase 1 individual to later modern humans in Europe suggests that he may have been a member of an initial early modern human population that interbred with Neanderthals but did not contribute much to later European populations. To better understand the interactions between early modern and Neanderthal populations, it will be important to study other specimens that, like Oase 1, have been suggested to carry morphological traits suggestive of admixture with Neanderthals<sup>30</sup>.

**Online Content** Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

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**Supplementary Information** is available in the online version of the paper.

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**Author Contributions** N.P., K.P., M.M., J.K., D.R. and S.P. supervised the study. S.C. and O.T.M. collected and analysed archaeological material. Q.F., M.H. and B.N. performed laboratory work. Q.F., M.H., S.M., P.S., N.P., N.R., I.L., B.V., K.P., J.K. and D.R. analysed data. Q.F., S.M., M.M. and D.R. designed capture probes. D.R. and S.P. wrote the manuscript with the help of all co-authors.

**Author Information** The aligned sequences have been deposited in the European Nucleotide Archive under accession number PRJEB8987. Reprints and permissions information is available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to D.R. (reich@genetics.med.harvard.edu) or S.P. (paabo@eva.mpg.de).

#### **METHODS**

DNA extraction and library preparation. We used a dentistry drill to remove two samples of bone powder from an area where a larger sample had previously been removed for carbon dating<sup>2</sup>. We prepared two extracts (E1406, E1843) from 25 mg and 10 mg of bone powder, respectively, as described<sup>31</sup>. We produced five libraries from the two extracts using a single-stranded library protocol<sup>9,32</sup> (Extended Data Table 6). We treated one library from each extract (A5227, A5252) with *E. coli* uracil-DNA glycosylase (UDG) and endonuclease VIII to remove deaminated cytosine residues from the interior parts of molecules<sup>33</sup>. We amplified all libraries by PCR for 35 cycles using AccuPrime Pfx DNA polymerase (Life Technologies)<sup>34</sup> and primers carrying library-specific indexes<sup>35</sup>. We determined library concentrations using a NanoDrop 2000 spectrophotometer.

Sequencing and DNA capture. We shotgun sequenced the UDG-treated libraries A5252 and A5227 and found that they contained 0.06% and 0.18% human DNA, respectively. We used hybridization to oligonucleotide probes to enrich the libraries for subsets of the nuclear genome containing panels of known SNPs as described<sup>23</sup>, except that each SNP was targeted by four 52-nucleotide probes: two immediately flanking the SNP on both sides, and two centred on the SNP containing one or the other alternate allele, respectively. We used four panels of probes.

Panel 1 "390k": 394,577 SNPs, about 90% of which are on the Affymetrix Human Origins array<sup>27</sup>. See ref. 36 for SNPs and probes.

Panel 2 "840k": 842,630 SNPs constituting the rest of the SNPs on the Human Origins array, all SNPs on the Illumina 610-Quad array, all SNPs on the Affymetrix 50k array, and smaller numbers of SNPs chosen for other purposes. See Supplementary Data 1.

Panel 3 "1000k": 997,780 SNPs comprising all transversion polymorphisms seen in two Yoruba males from Nigeria sequenced to high coverage and transversion polymorphisms seen in the Altai Neanderthal genome. The design was restricted to SNPs that passed strict quality filters in the Neanderthal genome (Map35\_99%)<sup>7</sup>, and had chimpanzee alleles available. Probes were designed from chimpanzee flanking sequences. See Supplementary Data 2.

Panel 4 "Archaic": This panel contains SNPs where the West-African Yoruba population carry a high frequency of one allele while at least one archaic individual carries an alternative allele. To determine Yoruba allele frequencies, we examined data from all Yoruba individuals from the 1000 Genomes Project<sup>37</sup> covered by at least three sequences passing filters. At these sites we called majority alleles (drawing a random allele in the case of equal numbers of reads supporting both alleles). We furthermore restricted the analysis to sites at which ≥24 Yoruba individuals as well as the Altai Neanderthal and Denisovan genomes had allele calls (Map35\_50% filter7). We then selected sites at which at most one alternative allele is seen among the Yoruba while at least one of four archaic genomes (Denisovan; Altai, Vindija and Mezmaiskaya Neanderthals) carry the alternative allele. Ancestral states were taken from the inferred ancestor of humans and chimpanzees (Ensembl Compara v.64)<sup>38,39</sup>. We used the following classes of sites. Class 1: 297,894 SNPs where Yoruba is derived and at least one ancestral allele is seen in the Altai, Vindija, Mezmaiskaya or Denisova genomes. Class 2: sites where Yoruba alleles are all or nearly all ancestral and derived alleles are seen in archaic genomes. Since such derived alleles often arise due to errors in an archaic genome, we restricted this class to the following three cases: (1) 1,321,774 SNPs where the high-coverage Altai Neandertal and/or Denisova genomes are homozygous derived; (2) 523,041 SNPs where the Altai and/or Denisova genomes are heterozygous but are not C-to-T or G-to-A substitutions relative to the ancestral allele; and (3) 30,735 SNPs that are homozygous ancestral in Altai and/or Denisova and at least one copy of the derived allele is observed in the Mezmaiskaya or Vindija Neanderthal genomes, and the derived allele represents a transversion that is also seen in the Simons Genome Diversity Panel (https://www.simonsfoundation.org/ life-sciences/simons-genome-diversity-project/). After eliminating SNPs where capture probes covered ambiguous bases in the human (hg19) and chimpanzee (pantro2) genomes or overlapped for less than 35 nucleotides with mapable regions (Map35\_50%)7, this left us with a set of 1,749,385 SNPs (see Supplementary Data 3).

Sequencing of capture products and data processing. We sequenced capture products using  $2 \times 75$  bp reads on an Illumina HiSeq2500 or an Illumina NextSeq500. We de-multiplexed the reads allowing one mismatch in each of the

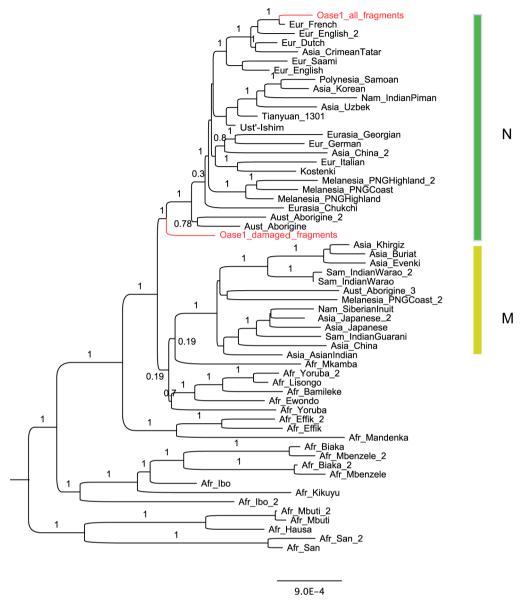
two indices (Extended Data Table 6), and merged paired reads into sequenced fragments requiring an overlap of at least 15 bp (allowing one mismatch) using a modified form of SeqPrep (https://github.com/jstjohn/SeqPrep). We used the bases with the higher quality (and score) to represent the overlap region. After removing adapters, we mapped merged fragments to hg19 using BWA (v.0.6.1) using the 'samse' command. We identified duplicated fragments on the basis of sharing the same orientation and end positions, in which case we kept the fragment with the highest quality (Extended Data Table 7).

To focus on putatively deaminated fragments we used fragments with C-to-T substitutions relative to the hg19 human genome reference sequence in the first 5' or last two 3' bases for the UDG-treated libraries, and to fragments with C-to-T substitutions relative to hg19 in the terminal three bases at either end of fragments from non-UDG-treated libraries (Supplementary Note 1 and Extended Data Table 8).

Merging the Oase 1 data with genome sequences. At each SNP covered at least once in Oase 1, we selected the majority allele (in case of a tie, we picked a random allele). We then merged the Oase 1 data with 25 genomes of present-day humans sequenced to 24-42× coverage<sup>7</sup>: the Altai Neanderthal<sup>7</sup>, the Siberian Denisovan<sup>9</sup>, a ~45,000-year-old modern human from Ust'-Ishim in Siberia<sup>15</sup>, an ~8,000-yearold Mesolithic individual from Loschbour Cave, Luxembourg<sup>28</sup>, and a ~7,000year-old early farmer from Stuttgart, Germany<sup>28</sup> (Extended Data Table 9). All the genotype calls for the five deeply sequenced ancient genomes were performed in the same way. We restricted analyses to sites with a minimum root-mean-square mapping quality (MAPQ) of 30 in the 30 genomes. We added lower coverage shotgun data from the ~36,000-year-old Kostenki 14 from Russia<sup>16</sup>, the ~24,000year-old Mal'ta Siberian individual from Russia<sup>40</sup>, an 8,000-year-old Mesolithic individual from La Braña Cave, Spain<sup>41</sup>, a Neanderthal from Mezmaiskaya in Russia<sup>7</sup>, and a pool of three Neanderthals from Vindija Cave in Croatia<sup>6</sup>. For these samples, we restricted to fragments with a map quality of MAPQ  $\geq$  37 to match the filter for the low-coverage Oase 1 data (Extended Data Table 9).

**Population genetic analyses.** To determine the relationship of Oase 1 to other modern humans, we used D-statistics to evaluate whether sets of four tested samples are consistent with being related to one another according to an unrooted tree<sup>27</sup> (Supplementary Note 3). We used D-statistics and  $f_4$ -statistic ratios<sup>27</sup> to test both whether there is excess archaic ancestry in Oase 1 compared with other modern humans, and to estimate proportions of Neanderthal ancestry<sup>27</sup> (Supplementary Note 4). We studied the genomic distribution of alleles that are likely to derive from Neanderthals in the sense of being shared with Neanderthal but either absent or at very low frequency in West Africans. We used the spatial distribution of these sites to identify stretches of likely Neanderthal ancestry in several individuals including Oase 1. We also used these data to estimate the number of generations since the most recent Neanderthal ancestor of Oase 1 (Supplementary Note 5).

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**Extended Data Figure 1** | **Mitochondrial DNA tree for Oase 1 and other modern humans.** The consensus sequences for all Oase 1 fragments and for deaminated fragments are shown. The tree is rooted with a Neanderthal mtDNA (Vindija33.25).

Extended Data Table 1 | Allele sharing between early modern humans and other humans

		Oase 1		Ust'-Ishim	1	Kostenki 1	4
Non-African <sub>1</sub>	Non-African <sub>2</sub>	D	$\mathbf{Z}$	D	$\mathbf{Z}$	D	$\mathbf{Z}$
Oase 1	Ust'-Ishim					-0.0033	-3.8
Oase 1	Kostenki 14			-0.0037	-4.1		
Oase 1	MA1			-0.0032	-3.5	-0.0092	-9.8
Oase 1	Loschbour			-0.0032	-3.9	-0.0101	-12.2
Oase 1	East Asian			-0.0027	-3.8	-0.0011	-1.6
Oase 1	Native American			-0.0030	-4.1	-0.0039	-5.5
Ust'-Ishim	Kostenki 14	-0.0005	-0.6				
Ust'-Ishim	MA1	-0.0007	-0.8			-0.0059	-6.4
Ust'-Ishim	Loschbour	0.0002	0.3			-0.0068	-8.5
Ust'-Ishim	East Asian	0.0000	-0.1			0.0022	3.3
Ust'-Ishim	Native American	-0.0007	-1.0			-0.0006	-0.8
Kostenki 14	MA1	-0.0004	-0.6	0.0003	0.4		
Kostenki 14	Loschbour	0.0007	1.0	0.0006	0.8		
Kostenki 14	East Asian	0.0004	0.6	0.0011	1.6		
Kostenki 14	Native American	-0.0002	-0.3	0.0008	1.1		
MA1	Loschbour	0.0012	1.7	0.0005	0.7	-0.0012	-1.5
MA1	East Asian	0.0008	1.2	0.0007	1.1	0.0079	10.6
MA1	Native American	0.0001	0.1	0.0004	0.6	0.0051	7.0
Loschbour	East Asian	-0.0002	-0.4	0.0005	0.9	0.0090	13.7
Loschbour	Native American	-0.0009	-1.5	0.0002	0.3	0.0062	9.0
East Asian	Native American	-0.0006	-1.6	-0.0003	-0.8	-0.0028	-6.6
European	Oase 1			0.0004	0.6	0.0049	7.3
European	Ust'-Ishim	-0.0023	-3.5			0.0016	2.4
European	Kostenki 14	-0.0028	-4.7	-0.0033	-5.1		
European	MA1	-0.0033	-5.4	-0.0031	-5.1	-0.0041	-6.0
European	Loschbour	-0.0021	-4.5	-0.0027	-5.7	-0.0052	-9.1
European	East Asian	-0.0024	-5.2	-0.0022	-5.3	0.0039	9.2
European	Native American	-0.0030	-6.4	-0.0025	-5.9	0.0010	2.2
European	Stuttgart	-0.0007	-1.5	-0.0001	-0.2	-0.0002	-0.3
Stuttgart	Oase 1			0.0005	0.6	0.0051	6.7
Stuttgart	Ust'-Ishim	-0.0017	-2.3			0.0018	2.3
Stuttgart	Kostenki 14	-0.0021	-3.2	-0.0032	-4.6		
Stuttgart	MA1	-0.0027	-3.9	-0.0029	-4.2	-0.0041	-5.0
Stuttgart	Loschbour	-0.0015	-2.4	-0.0027	-4.6	-0.0050	-7.5
Stuttgart	East Asian	-0.0017	-2.9	-0.0022	-3.8	0.0040	6.8
Stuttgart	Native American	-0.0024	-3.9	-0.0025	-4.4	0.0012	1.9

We compute  $D(Non-African_1, Non-African_2; Early Modern Human, African)$  to test whether an early modern human (Oase 1, Ust'-Ishim, or Kostenki 14) shares more alleles with Non-African<sub>1</sub> (in which case the statistic is positive) or Non-African<sub>2</sub> (negative). We use a pool of six sub-Saharan African genomes (2 Mbuti, 2 Yoruba, 2 Dinka) as an outgroup; a pool of four genomes (2 French, 2 Sardinians) to represent Europeans; a pool of four genomes (2 Han, 2 Dai) to represent East Asians; and a pool of three genomes (2 Karitiana, 1 Mixe) to represent Native Americans. Results are based on 242,122 transition and transversion SNPs covered by at least one deaminated fragment in Oase 1, and covered in all other samples, although not necessarily MA1. For analyses involving MA1, a subset of 176,569 transversion SNPs was analysed.



Extended Data Table 2 | Allele sharing between early modern humans and other humans (transversions only)

		Oase 1		Ust'-Ishi	m	Kostenk	i 14
Non-African <sub>1</sub>	Non-African <sub>2</sub>	D	Z	D	Z	D	Z
Oase 1	Ust'-Ishim					-0.0019	-2.1
Oase 1	Kostenki 14			-0.0031	-3.3		
Oase 1	MA1			-0.0026	-2.9	-0.0071	-6.5
Oase 1	Loschbour			-0.0023	-2.6	-0.0081	-8.8
Oase 1	East Asian			-0.0013	-1.9	0.0007	1.0
Oase 1	Native American			-0.0019	-2.7	-0.0018	-2.3
Ust'-Ishim	Kostenki 14	-0.0012	-1.4				
Ust'-Ishim	MA1	-0.0006	-0.7			-0.0050	-5.1
Ust'-Ishim	Loschbour	0.0003	0.4			-0.0062	-7.1
Ust'-Ishim	East Asian	0.0005	0.7			0.0026	3.8
Ust'-Ishim	Native American	-0.0003	-0.4			0.0001	0.1
Kostenki 14	MA1	0.0001	0.1	0.0002	0.3		
Kostenki 14	Loschbour	0.0015	2.0	0.0008	1.1		
Kostenki 14	East Asian	0.0017	2.3	0.0017	2.5		
Kostenki 14	Native American	0.0009	1.2	0.0012	1.6		
MA1	Loschbour	0.0019	2.2	0.0010	1.3	-0.0013	-1.3
MA1	East Asian	0.0011	1.4	0.0013	1.9	0.0075	8.5
MA1	Native American	0.0006	0.7	0.0007	1.1	0.0051	6.0
Loschbour	East Asian	0.0001	0.2	0.0009	1.5	0.0088	12.3
Loschbour	Native American	-0.0006	-0.9	0.0004	0.6	0.0063	8.4
East Asian	Native American	-0.0008	-1.7	-0.0006	-1.3	-0.0025	-5.3
European	Oase 1			-0.0005	-0.7	0.0029	3.9
European	Ust'-Ishim	-0.0023	-3.3			0.0010	1.4
European	Kostenki 14	-0.0035	-5.1	-0.0035	-5.2		
European	MA1	-0.0033	-4.5	-0.0033	-5.2	-0.0038	-4.8
European	Loschbour	-0.0020	-3.6	-0.0027	-5.1	-0.0052	-8.4
European	East Asian	-0.0018	-3.6	-0.0018	-4.0	0.0036	7.8
European	Native American	-0.0026	-4.8	-0.0023	-5.2	0.0011	2.1
European	Stuttgart	-0.0009	-1.7	-0.0010	-2.2	-0.0012	-2.3
Stuttgart	Oase 1			0.0005	0.7	0.0041	4.7
Stuttgart	Ust'-Ishim	-0.0014	-1.8			0.0022	2.6
Stuttgart	Kostenki 14	-0.0026	-3.3	-0.0025	-3.5		
Stuttgart	MA1	-0.0026	-3.1	-0.0023	-3.2	-0.0031	-3.4
Stuttgart	Loschbour	-0.0011	-1.6	-0.0017	-2.8	-0.0040	-5.2
Stuttgart	East Asian	-0.0010	-1.4	-0.0008	-1.3	0.0048	7.2
Stuttgart	Native American	-0.0017	-2.4	-0.0013	-2.2	0.0023	3.4

We compute  $D(Non-African_1, Non-African_2; Early Modern Human, African)$ , to test whether an early modern human (Oase 1, Ust'-Ishim or Kostenki 14) shares more alleles with Non-African<sub>1</sub> (in which case the statistic is positive) or Non-African<sub>2</sub> (negative). We use a pool of six sub-Saharan African genomes (2 Mbuti, 2 Yoruba, 2 Dinka) as an outgroup; a pool of four genomes (2 French, 2 Sardinians) to represent Europeans; a pool of four genomes (2 Han, 2 Dai) to represent East Asians; and a pool of three genomes (2 Karitiana, 1 Mixe) to represent Native Americans. Statistics are as in Extended Data Table 1 but are based on 106,004 transversion SNPs covered by at least one deaminated fragment in Oase 1 and that also have coverage for all other samples, although not necessarily MA1. For analyses involving MA1, a subset of 76,715 transversion SNPs is analysed.



Extended Data Table 3 | Testing whether archaic genomes share more alleles with Oase 1 than with other modern humans

			Archaic = Altai				chaic =	= Denisovan	
		Chimp		Mbut	ti	Chimp		Mbuti	
Test	Sites	D	$\mathbf{Z}$	D	$\mathbf{Z}$	D	$\mathbf{Z}$	D	Z
Han	115,300	-0.0036	-5.1	-0.0071	-7.6	-0.0014	-2.2	-0.0049	-6.3
Dai	115,300	-0.0035	-5.0	-0.0077	-8.2	-0.0013	-2.1	-0.0056	-7.0
Karitiana	115,300	-0.0032	-4.3	-0.0063	-6.9	-0.0008	-1.3	-0.0040	-5.3
French	115,300	-0.0049	-6.9	-0.0074	-8.2	-0.0021	-3.4	-0.0047	-6.2
Sardinian	115,300	-0.0038	-5.1	-0.0071	-7.8	-0.0016	-2.5	-0.0050	-6.5
Papuan	115,300	-0.0026	-3.6	-0.0051	-5.4	0.0009	1.5	-0.0016	-2.1
Ust'-Ishim	115,100	-0.0026	-3.6	-0.0052	-5.5	-0.0009	-1.5	-0.0035	-4.4
Kostenki14	108,100	-0.0032	-4.1	-0.0059	-6.0	-0.0017	-2.4	-0.0044	-5.3
MA1	83,200	-0.0031	-3.6	-0.0050	-4.7	-0.0007	-0.9	-0.0028	-2.8
Loschbour	114,300	-0.0043	-5.7	-0.0066	-6.8	-0.0019	-2.9	-0.0043	-5.3
LaBrana	111,000	-0.0033	-4.2	-0.0072	-7.3	-0.0008	-1.2	-0.0047	-5.4
Stuttgart	114,000	-0.0037	-5.1	-0.0066	-7.1	-0.0013	-2.1	-0.0042	-5.6

The statistic D(Test, Oase 1; Archaic, Outgroup) is negative if the archaic genomes share more alleles with Oase 1 than with a test sample. The outgroups are either chimpanzee or a sub-Saharan African (Mbuti).

Extended Data Table 4  $\mid$  Estimated fraction of the Oase 1 genome that derives from Neanderthals

		$\frac{f_4(Denisova,Altai;Mbuti,X)}{f_4(Denisova,Altai;Mbuti,Mezmaiskaya)}$			$1 - \frac{f_4(\textit{Mbuti,Chimp;X,Denisova})}{f_4(\textit{Mbuti,Chimp;Dinka,Denisova})}$			$f_4$ (X,Mbuti;Denisova,Chimp) $f_4$ (Altai,Mbuti;Denisova,Chimp)		
Sample	Prop.	S.E.	90% CI	Prop.	S.E.	90% CI	Prop.	S.E.	90% CI	
Oase 1	11.3%	2.8%	6.7%-16%	10.9%	1.6%	8.3%-13.6%	8.4%	2.7%	4.0%-12.9%	
Ust'-Ishim	2.9%	1.2%	1.0%-4.9%	6.0%	0.8%	4.7%-7.4%	4.2%	1.5%	1.8%-6.6%	
Kostenki 14	3.0%	1.4%	0.7%-5.3%	3.0%	0.9%	1.6%-4.5%	6.2%	1.6%	3.6%-8.7%	
MA1	1.5%	1.5%	0.0%-4.0%	3.6%	1.0%	1.9%-5.2%	5.5%	1.6%	2.8%-8.2%	
Loschbour	1.1%	1.2%	0.0%-3.1%	4.8%	0.9%	3.3%-6.2%	3.6%	1.5%	1.2%-6.1%	
LaBrana	3.7%	1.3%	1.4%-5.9%	2.4%	0.9%	0.9%-3.8%	4.8%	1.5%	2.4%-7.2%	
Stuttgart	2.8%	1.2%	0.8%-4.8%	3.4%	0.9%	2.0%-4.9%	3.8%	1.5%	1.4%-6.2%	
Han	1.0%	1.3%	0.0%-3.1%	2.8%	0.9%	1.3%-4.2%	3.6%	1.5%	1.2%-6.1%	
Dai	2.1%	1.2%	0.2%-4.0%	1.3%	0.9%	0.0%-2.8%	3.8%	1.5%	1.4%-6.2%	
French	1.6%	1.2%	0.0%-3.5%	3.3%	0.9%	1.9%-4.7%	2.7%	1.5%	0.3%-5.2%	
Sardinian	2.7%	1.2%	0.8%-4.7%	2.3%	0.9%	0.8%-3.7%	3.7%	1.4%	1.3%-6.1%	

 $Estimates \ are \ as \ in \ Table \ 1 \ but \ restrict \ to \ transversions. \ Present-day \ human \ genomes \ are \ from \ a \ data \ set \ reported \ previously^7.$ 

### Extended Data Table 5 | Counts of putative Neanderthal alleles in six modern humans

			N	eanderthal allel	e counts				Ne	anderthal ances	try	
Chr	Sites	Oase 1	Ust'-Ishim	Kostenki 14	Han	French	Dinka	Oase 1	Ust'-Ishim	Kostenki 14	Han	French
1	6740	323	196	148	129	117	25	6.70%	3.84%	2.77%	2.34%	2.07%
2	7112	294	145	121	188	199	29	5.65%	2.47%	1.96%	3.39%	3.62%
3	5417	177	102	96	74	98	28	4.17%	2.07%	1.90%	1.29%	1.96%
4	4495	359	86	63	141	96	42	10.69%	1.48%	0.71%	3.34%	1.82%
5	4330	446	108	66	103	95	23	14.80%	2.97%	1.50%	2.80%	2.52%
6	4549	324	155	167	142	138	73	8.36%	2.73%	3.13%	2.30%	2.16%
7	4422	147	68	65	102	72	34	3.87%	1.16%	1.06%	2.33%	1.30%
8	4322	131	132	72	35	38	14	4.10%	4.14%	2.03%	0.74%	0.84%
9	3107	500	69	120	118	49	15	23.65%	2.63%	5.12%	5.02%	1.66%
10	4009	147	139	67	131	86	22	4.72%	4.42%	1.70%	4.12%	2.42%
11	4193	153	93	88	81	73	26	4.59%	2.42%	2.24%	1.99%	1.70%
12	3456	456	160	54	125	93	10	19.55%	6.58%	1.93%	5.04%	3.64%
13	2457	96	81	33	54	30	18	4.81%	3.89%	0.93%	2.22%	0.74%
14	2390	85	27	52	50	52	13	4.56%	0.89%	2.47%	2.35%	2.47%
15	2327	73	78	47	38	32	5	4.43%	4.75%	2.73%	2.15%	1.76%
16	3139	90	121	68	43	39	8	3.96%	5.45%	2.90%	1.69%	1.50%
17	2543	72	89	37	85	75	56	0.95%	1.97%	-1.13%	1.73%	1.13%
18	2305	57	58	59	27	29	5	3.42%	3.48%	3.55%	1.45%	1.58%
19	1769	79	49	33	43	35	12	5.74%	3.17%	1.80%	2.66%	1.97%
20	2492	107	29	62	56	43	12	5.78%	1.03%	3.04%	2.68%	1.88%
21	1026	36	53	22	8	11	10	3.84%	6.35%	1.77%	-0.30%	0.15%
22	1455	79	33	66	34	18	5	7.71%	2.92%	6.35%	3.02%	1.35%
All	78055	4231	2071	1606	1807	1518	485	7.27%	3.08%	2.18%	2.57%	"2%"
Subtra	ct Dinka	3746	1586	1121	1322	1033	0		7.2770 5.0070			

The analysis is based on 78,055 sites covered by at least one deaminated fragment in Oase 1. To convert the counts to estimates of ancestry, we subtract the Dinka count as an estimate of the false positive rate and divide by the number of sites covered (as indicated for the whole genome on the bottom). This gives the rate of alleles per screened site on this chromosome for this individual. We then multiply this quantity by 2%/1.32% to recalibrate the 1.32% seen genome-wide in the French to an assumed 2% genome-wide Neanderthal ancestry in the French?



### Extended Data Table 6 | Ancient DNA libraries made from the Oase 1 mandible

		Metai	nformation			Sequencing results			All fragments			Deaminated fragments		
Lib- rary	Ex- tract	UDG treat- ment	Index 1	Index 2	Extract used (µl)	Sequences going into alignment	Sequences ≥35bp mapped	After dup. removal	Cov- er- age	% C→T 5' end	% C→T 3' end	Cov- er- age	% C→T 5' end	% C→T 3' end
A5227	E1406	Yes	ACTTGCG	AACTCCG	8	206,982	118,976	34,486	112	8	19	5	19	36
A5252	E1843	Yes	GTAAGCC	TTGAAGT	40	74,384	46,394	31,368	114	7	25	5	18	55
A9032	E1406	No	ATAACGT	ACTATCA	6	9,321,903	5,904,210	51,810	178	20	21	12	31	39
A9033	E1406	No	AATAGGA	ACCAACT	6	7,932,271	4,816,314	55,878	193	21	20	13	36	38
A9034	E1406	No	ATCACGA	AACTCCG	6	10,422,467	6,861,634	59,883	207	20	20	14	35	38
						27,958,007	17,747,528	233,425	803	17	21	49	30	39

### Extended Data Table 7 | Sequencing metrics on the five libraries for the four capture probe panels

Library	Panel	No. target SNPs	Fragments going into alignment	Fragments mapped to genome	Fragments on target after dup. removal and MAPQ37 filter	% SNPs hit at least once	Average coverage on SNPs
A9032	390k	393,577	10,849,144	2,235,955	133,564	26.5%	0.34
A9033	390k	393,577	17,159,085	2,808,704	73,824	15.9%	0.19
A9034	390k	393,577	16,902,935	3,256,438	142,520	27.7%	0.36
A5227	390k	393,577	63,441,719	22,124,247	195,161	36.0%	0.5
A5252	390k	393,577	60,181,844	14,278,978	180,626	33.3%	0.46
All 5	390k	393,577	168,534,727	44,704,322	724,653	73.0%	1.84
A9032	840k	842,630	25,105,625	3,801,435	178,015	17.6%	0.21
A9033	840k	842,630	29,196,969	4,655,434	183,093	17.9%	0.22
A9034	840k	842,630	35,780,652	5,968,851	200,767	19.3%	0.24
A5227	840k	842,630	28,209,496	4,276,439	152,411	15.3%	0.18
A5252	840k	842,630	20,286,540	1,630,343	106,943	11.2%	0.13
All 5	840k	842,630	138,579,282	20,332,502	818,648	51.7%	0.97
A9032	1000k	997,780	26,088,835	2,964,094	159,162	13.5%	0.16
A9033	1000k	997,780	26,641,358	4,490,372	158,614	13.3%	0.16
A9034	1000k	997,780	28,795,043	4,985,140	154,177	13.0%	0.15
A5227	1000k	997,780	25,848,311	4,395,413	71,537	6.4%	0.07
A5252	1000k	997,780	25,691,323	2,254,636	53,932	5.0%	0.05
All 5	1000k	997,780	133,064,870	19,089,655	596,107	36.1%	0.6
A9032	Archaic	1,749,385	19,329,832	2,086,208	205,095	10.0%	0.12
A9033	Archaic	1,749,385	24,629,023	2,768,355	237,818	11.4%	0.14
A9034	Archaic	1,749,385	31,200,466	3,783,805	257,351	12.2%	0.15
A5227	Archaic	1,749,385	27,659,125	3,606,375	195,356	9.6%	0.11
A5252	Archaic	1,749,385	31,472,143	2,435,080	136,637	6.8%	0.08
All 5	Archaic	1,749,385	134,290,589	14,679,823	1,022,046	34.6%	0.58
A9032	Combined	3,801,245	81,373,436	11,087,692	719,146	15.5%	0.19
A9033	Combined	3,801,245	97,626,435	14,722,865	698,890	15.1%	0.18
A9034	Combined	3,801,245	112,679,096	17,994,234	806,589	17.0%	0.21
A5227	Combined	3,801,245	145,158,651	34,402,474	666,195	14.2%	0.18
A5252	Combined	3,801,245	137,631,850	20,599,037	531,873	11.4%	0.14
All 5	Combined	3,801,245	574,469,468	98,806,302	3,406,685	45.5%	0.90



### Extended Data Table 8 | Effect of filtering on amount of nuclear data available

		All fragments			Deaminated fragments only		
Panel	Target SNPs	No. SNPs hit ≥1×	% SNPs hit ≥1×	Average coverage	No. SNPs hit ≥1×	% SNPs hit ≥1×	Average coverage
Panels 1-3	2,051,902	1,038,619	50.6%	1.03	271,326	13.2%	0.16
Panel 4 subset*	954,849	361,681	37.9%	0.69	87,803	9.2%	0.11
Panels 1-4	3,801,245	1,685,891	44.4%	0.85	426,027	11.2%	0.13

Note that numbers differ from Extended Data Table 7 because only sites with base quality  $\geq$ 20 were used. \*The Panel 4 subset excludes the sites where only the Denisovan genome differs from the African panel.

### Extended Data Table 9 | Genomes merged with the Oase 1 data

Sample ID	Human	Data type	Mean	<b>UDG-treated</b>
Oase1	Modern	Low coverage	Capture	Mix of library types
Vindija	Archaic	Low coverage	1.3	No
Mezmaiskaya	Archaic	Low coverage	0.5	Yes
Altai	Archaic	High coverage	52	Yes
Denisova	Archaic	High coverage	31	Yes
Kostenki14	Modern	Low coverage	2.4	Mix of library types
MA1	Modern	Low coverage	1	No
LaBrana	Modern	Low coverage	3.4	No
Loschbour	Modern	High coverage	22	Yes
Stuttgart	Modern	High coverage	19	Yes
Ust'-Ishim	Modern	High coverage	42	Yes
Dinka <sub>A</sub>	Modern	High coverage	28	
French <sub>A</sub>	Modern	High coverage	27	
Papuan <sub>A</sub>	Modern	High coverage	26	
Sardinian <sub>A</sub>	Modern	High coverage	25	
Han <sub>A</sub>	Modern	High coverage	28	
Yoruba <sub>A</sub>	Modern	High coverage	32	**
Karitiana <sub>A</sub>	Modern	High coverage	26	
San <sub>A</sub>	Modern	High coverage	33	
Mandenka <sub>A</sub>	Modern	High coverage	25	
Dai <sub>A</sub>	Modern	High coverage	28	
Mbuti <sub>A</sub>	Modern	High coverage	24	
Dai <sub>B</sub>	Modern	High coverage	37	
French <sub>B</sub>	Modern	High coverage	42	
Han <sub>B</sub>	Modern	High coverage	35	
Mandenka <sub>B</sub>	Modern	High coverage	37	
Mbuti <sub>B</sub>	Modern	High coverage	37	
Papuan <sub>B</sub>	Modern	High coverage	42	
San <sub>B</sub>	Modern	High coverage	38	
Sardinian <sub>B</sub>	Modern	High coverage	38	••
Yoruba <sub>B</sub>	Modern	High coverage	39	
Karitiana <sub>B</sub>	Modern	High coverage	35	
Mixe <sub>B</sub>	Modern	High coverage	42	
Australian <sub>B1</sub>	Modern	High coverage	42	••
Australian B2	Modern	High coverage	37	
Dinka <sub>B</sub>	Modern	High coverage	35	

For the 25 present-day humans, individuals ending with a subscript 'A' are from 'Panel A' reported in ref. 9 and individuals with a subscript 'B' are from 'Panel B' reported in ref. 7. Unless otherwise specified, we used Panel B individuals.

# **Supplementary Note 1 Mitochondrial DNA and filtering of contaminated sequences**

### Mitochondrial contamination

We captured mitochondrial DNA genome sequences (mtDNA) from all five libraries of the Oase 1 individual using the in-solution capture method of <sup>1</sup>.

We analyzed a total of 27,958,007 sequences that all had perfect matches to the expected indices (Extended Data Table 6). We merged the reads from either end of the same molecule by requiring an overlap of at least 11 base pairs, and generated a consensus sequence by picking the base with the higher sequence quality<sup>2</sup>. We mapped these merged reads, which we call a "fragment", to the revised Cambridge reference sequence (rCRS NC\_012920). We restricted analysis to Oase 1 fragments that were at least 35bp and that had a mapping quality of at least 30. We removed duplicate fragments by identifying all fragments with the same start and stop positions and keeping the one with the highest average base quality.

The deamination of cytosine (C) to uracil (U) residues, which occurs primarily at single-stranded DNA overhangs, leaves characteristic patterns of  $C \rightarrow T$  substitutions in sequences obtained from ancient DNA molecules, because uracils are read as thymines (T) by DNA polymerases. We measured the frequency of  $C \rightarrow T$  substitutions relative to the mtDNA consensus sequence, which we obtained as described below. The frequency at the 5' end was 8% and 7% for UDG-treated and 20%, 21% and 20% for non-UDG treated libraries. The frequency at the 3' end was 19% and 25% for UDG-treated and 21%, 20% and 20% for non-UDG treated libraries (Extended Data Table 6). The  $C \rightarrow T$  rates at the 5' end increased when we restricted analysis to fragments that carry a  $C \rightarrow T$  substitution at the opposite end of the same fragment, consistent with a mixture of contamination and endogenous ancient DNA<sup>3</sup>.

We obtained direct evidence for contamination by examining the consensus of all Oase 1 fragments, and the consensus of fragments that contained C→T substitutions at the terminal ends. When all fragments are analyzed, the consensus corresponds to a derived haplogroup (H39) of macrohaplogroup N, which is widespread in present-day non-Africans, especially in West Eurasia. In contrast, when we restrict to fragments that have C→T substitutions at the terminal ends, the consensus does not have any of the mutations that occurred on the lineage leading to haplogroup H39 since the inferred ancestor of all copies of macrohaplogroup N observed to date (Extended Data Figure 1). We aligned the Oase 1 consensus mtDNA sequence from all fragments to mtDNA sequences from 10 other securely dated archaeological samples<sup>3-6</sup>, as well as to mtDNA sequences from 311 present-day humans. Based on the number of mutations missing relative to the most closely related mtDNA sequences, as determined by running the MrBayes software<sup>7</sup> on the joint dataset using the same procedure described in<sup>6</sup>, we estimate the date of the Oase 1 mtDNA consensus to be 7,111 years before present (95% highest posterior density 96-13,735 before present), consistent with contamination from a present-day human.

To estimate the proportion of mtDNA contamination, we determined positions in the mtDNA that are specific ('diagnostic') for Oase 1. This required generating a consensus mtDNA sequence for Oase 1. To generate this consensus, we restricted to the subset of Oase 1 fragments that passed the filters in Box S1.1. These are the same filters that we apply to the nuclear data and that we use for population genetic analysis. For the fragments that passed these filters, we masked nucleotides in

the three final positions in the same orientation as sequenced, as they are prone to have arisen from cytosine deamination.

### Box S1.1: Filters used to restrict to deaminated fragments

<u>UDG-treated libraries</u>: Restrict to fragments with  $C \rightarrow T$  substitutions in the first position at the 5'- and the last two positions on the 3'-end. These are the only bases that largely escape uracil removal using the protocol we used to build these libraries  $^8$ .

<u>Non-UDG-treated libraries</u>: Restrict to fragments with  $C \rightarrow T$  substitutions in the first three positions at the 5'- and the last three positions bases on the 3'-end. The nucleotides at the ends of molecules are the ones that most likely to harbor uracils.

To determine a consensus base at each position of the mitochondrial genome, we required a minimum coverage of 5 and a consensus support of ≥80% of fragments. We obtained unambiguous base call for all but 5 positions (Table S1.1). Positions 297 and 310 are in the Chomopolymer stretch, which is known to be a problematic region for mtDNA alignment. Positions 514 and 515 are also in a short repetitive sequence. Position 16293 has 69% support for the majority nucleotide (G), but when we restrict to the fragments sequenced on the forward strand where G nucleotides are not vulnerable to ancient DNA degradation, the support is 97% (only 1 of 29 fragments disagreeing), giving us confidence that G is the true base. We do not use the four ambiguous positions 297, 310, 514 and 515 in mtDNA analysis.

**Table S1.1. Positions with support from <80% of fragments** 

rCRS	Base in the	Majority	Called	Coverage	Fraction of fragments	Used in mtDNA
297	A	G	N	85	73%	No
310	T	C	N	87	60%	No
514	C	C	N	42	62%	No
515	A	A	N	45	60%	No
16293	A	G	N	45	69%	Yes

To estimate a contamination rate, we identified 6 positions where the consensus of Oase 1 differs from at least 99% of a panel of 311 present-day human mtDNAs<sup>9</sup> (Table S1.2).

**Table S1.2. Diagnostic positions for Oase 1.** There are 6 positions where the consensus mtDNA of Oase 1 differs from at least 99% of 311 present-day human mtDNA genomes

rCRS position	Oase 1	Consensus	Frequency of allele
3205	A	C	100%
3462	T	C	100%
4232	C	T	≥99%
7158	G	A	≥99%
8749	C	T	≥99%
11016	A	G	≥99%

We counted the sequences that overlap these positions to determine the fraction mismatching Oase 1, taking into account the strand orientation in the cases where the informative sites are C or G. If the informative state is C, we counted only the alignments on the reverse strand, and in cases where the informative state is G, we counted only the alignments on the forward strand. Before filtering, the estimates of contamination for all five libraries range from 59-73% and combining the data from all five libraries the estimate is 67% (95% confidence interval 65% to 69%) (Table S1.3). When we applied the filters in Box S1.1, the estimates of contamination for all 5 libraries range from 0% to 7% and combining the data from all five libraries the estimate is 4% (95% CI 2% to 9%) (Table S1.3).

**Table S1.3. Estimates of contamination in Oase 1.** We estimate contamination based on the rate of mismatch to the consensus at six sites that are diagnostic for Oase 1.

Library ID	#fragments	Coverage	<b>Contamination (fraction of observations)</b>
No filtering			
A5227	34,486	112	59% (172/193)
A5252	31,368	114	64% (197/310)
A9032	51,810	178	69% (316/460)
A9033	55,878	193	70% (341/485)
A9034	59,883	207	70% (365/523)
2 UDG-treated	65,854	226	61% (369/603)
3 non-UDG-treated	167,571	578	70% (1022/1468)
5 libraries together	233,425	804	67% (1391/2071)
C→T filtering			
A5227	1,784	4.9	0% (0/16)
A5252	1,569	4.6	0% (0/11)
A9032	6,612	20.4	7% (2/30)
A9033	7,171	22.1	5% (3/55)
A9034	7,627	23.5	4% (2/45)
2 UDG-treated	3,353	9.5	0% (0/31)
3 non-UDG-treated	21,410	66.0	5% (7/130)
5 libraries together	24,763	75.5	4% (7/161)

### Relationship of the Oase 1 mtDNA to that of present-day humans

We identified the haplogroup for Oase 1 from deaminated fragments using HaploGrep<sup>10</sup> based on the Phylotree database (Phylotree.org, build 16). Oase 1 carries the following substitutions that define the N macrohaplogroup:

73G, 263G, 750G, 1438G, 2706G, 3107d, 4769G, 7028T, 8860G, 11719A, 12705T, 14766T, 15326G, 16223T

Oase 1 does not share derived alleles at positions 8701 (G in Oase 1) and 9540 (C in Oase 1) that have been observed in all copies of macrohaplogroup N observed to date. This suggests that the consensus of the Oase 1 deaminated fragments derives from a lineage that diverged from the stem of macrohaplogroup N and that has never previously been sampled.

To generate a phylogenetic tree, we added the consensus sequences of all fragments and deaminated fragments from Oase 1 to the mtDNA sequences of three early modern humans (Ust'-Ishim, Kostenki 14, and Tianyuan), 54 present-day humans and a Neanderthal mtDNA (Vindija 33.25) We applied the software MrBayes and ran 20,000,000 iterations of the Markov Chain Monte Carlo with the first 2,000,000 iterations discarded as burn-in. We used a General Time Reversible sequence evolution model with a fraction of invariable sites (GTR+I) determined by the best-fit model approach of Modeltest and PAUP\* Extended Data Figure 1 shows the resulting mitochondrial DNA tree. The consensus of all fragments clusters with most present-day Europeans (100% posterior support). After restricting to deaminated fragments, the consensus of Oase 1 belongs to macrohaplogroup N, but it branches off before all copies of this macrohaplogroup observed to date (100% posterior support), consistent with the expectation for a very ancient sample.

We estimated the date of the Oase 1 mtDNA using BEAST<sup>14</sup> by co-analyzing it with the mtDNAs of 311 present-day humans and ten securely radiocarbon dated ancient humans<sup>4,5</sup>. These are all the samples analyzed in <sup>4</sup> to which we added Ust'-Ishim<sup>6</sup>. We carried out two Markov Chain Monte Carlo (MCMC) runs with 30,000,000 iterations each, sampling every 1000 steps and using both constant and strict clock models. The first 6,000,000 iterations were discarded as burn-in. For each model, both independent runs were combined, resulting in 48,000,000 iterations. We estimate that the Oase 1 mandible dates to 36,328 BP (95% highest posterior density of 14,515-56,452 BP). Although the confidence interval is large due to the small size of the mtDNA genome, it is

consistent with the radiocarbon date of 37,615-41,761 BP and provides further evidence for the authenticity of the ancient sequences.

### **Nuclear contamination**

We analyzed the sequences mapping to the 2,051,902 unique SNP targets from nuclear capture Panels 1, 2 and 3. The number of SNPs covered at least once by any Oase 1 fragment was 1,038,619 (union of all SNPs). Of these, 271,326 were covered by at least one deaminated fragment based on the criteria in Box S1.1.

To test for evidence of contamination, we computed D-statistics using ADMIXTOOLS <sup>15</sup> on the intersection of SNPs covered by both deaminated and non-deaminated fragments: D(Oase1-deaminated, Oase1-non-deaminated; Test, Africa). Here, Africa is represented by a pool of six genomes (2 Yoruba, 2 Mbuti, and 2 Dinka). We find that when Test is European (represented by a pool of 2 French and 2 Sardinian genomes), Test shares significantly more alleles with Oase 1 when all fragments are analyzed than when only deaminated fragments are analyzed (Z = -18.8). In contrast, when Test is East Asian (represented by a pool of 2 Han and 2 Hai genomes), the skew is less (Z = -5.2). This is consistent with Oase 1 being contaminated by DNA that is more European than East Asian.

To confirm that our filtering for deaminated fragments reduces the impact of contamination not just for mtDNA but also for nuclear data, we computed statistics of the form D(Loschbour, East Asia; Oase 1, Africa), representing Oase 1 alternately by all fragments or just by deaminated fragments. Loschbour is an  $\sim$ 8,000 year old Mesolithic hunter-gatherer from Luxembourg in Western Europe <sup>16</sup>; East Asia is a pool of four genomes (2 Han and 2 Dai); and Africa is a pool of six genomes (2 Yoruba, 2 Mbuti and 2 Dinka). We do not use present-day Europeans to represent Europeans, and instead use a Mesolithic European, because present-day Europeans (but not Mesolithic Europeans) have evidence of ancestry from a population that split from present-day eastern and western non-Africans before they separated from each other <sup>16</sup>. This would be expected to bias the D-statistic negative even in the absence of contamination, making it difficult to interpret evidence of contamination.

Table S1.4 shows that there is a significantly positive D when all Oase 1 fragments are used, consistent with European contamination (95% CI 0.0029 to 0.0046). When we restrict to deaminated Oase 1 fragments, D is diminished, with a confidence interval that does not overlap all fragments (95% CI -0.0014 to 0.0008). Thus, restricting to deaminated fragments reduces contamination, and may effectively eliminate it since the D range overlaps zero.

Table S1.4. Statistics of the form D(Loschbour, East Asian; Oase 1. African)

Fragments used	Sites	D (Estimate)	D (Std. Err.)	D (95% CI)	Z-score (deviation from 0)
All	997,700	0.0037	0.0004	(0.0029, 0.0046)	8.9
Deaminated only	261,947	-0.0003	0.0006	(-0.0014, 0.0008)	-0.5

Under the assumption that the contaminating DNA is entirely from one or more European individuals, and that the deaminated fragments are uncontaminated, we can estimate the proportion of nuclear contamination by modeling all the Oase 1 fragments as a mixture of present-day European and uncontaminated Oase 1. Mathematically, this is the same as the problem of estimating the proportion of European mixture in the Oase 1 all fragments dataset, given data from two reference populations that we propose to be sister groups to present-day Europeans on the one hand, and deaminated Oase 1 fragments on the other hand. This problem has been addressed in the literature on estimating mixture proportions, and we borrow that technology. Methodological details are given in 17.

We estimated nuclear contamination on a merge of Human Origins genotyping data reported in 16

with the Oase 1 data, as we need data from more populations than are available from sequencing data. We divide the populations into two sets. The *left* set *L* consists of the proposed admixed population (Oase 1 all fragments) and the putative clades with the source populations (Europeans, and Oase 1 deaminated fragments). The *right* set *R* consists of 15 worldwide populations excluding Europeans (Ami, Biaka, Bougainville, Chukchi, Eskimo, Han, Ju\_hoan\_North, Karitiana, Kharia, Mbuti, Onge, Papuan, She, Ulchi, Yoruba); this is the same set of *right* populations used in 17. The *right* populations are variably related to West Eurasians, which provides leverage for discerning different components of ancestry among the *left* populations.

We estimate the matrix of  $f_4$ -statistics  $M(l, r) = f_4(l_x, l; r_x, r)$  where  $l_x$  and  $r_x$  are fixed reference populations in L and R respectively, and l, r are other populations in L and R. We of course cannot know the true matrix, but can estimate it from the observed  $f_4$ -statistics, and we can also estimate a covariance matrix using a Block Jackknife. If the three *left* populations are related to the *right* populations via just two ancestral lineages (from which Oase 1 deaminated fragments and the Europeans directly descend), and if all Oase 1 fragments can be modeled as a mixture of these two lineages, then the matrix should be Rank 1 (using the terminology of linear algebra). In contrast, if the Oase 1 deaminated fragments are uncontaminated, we expect the matrix to be Rank 0.

Applying this test to our data, we find that we can reject the hypothesis that the matrix is Rank 0 ( $P = 1.0 \times 10^{-9}$ ). There is weak evidence that we can also reject Rank 1 (P = 0.014), suggesting that most but not all of the contamination is coming from Europeans.

The evidence of a rejection of Rank 1 is modest (European contamination appears to explain most of the rejection from Rank 0), and we therefore attempted to estimate contamination under a Rank 1 model. Intuitively, if M(l,r) is Rank 1, Oase 1 fragments are a mixture of just two lineages related to Oase 1 deaminated fragments and to Europeans. In this case, the  $f_4$ -statistics relating Oase 1 all fragments to other populations will be a linear combination of the  $f_4$ -statistics relating these source populations to the other samples. Thus, we can empirically learn the weights and interpret these as mixture proportions. When we apply this procedure, using the implementation that is reported in  $f_4$ , we estimate 23.3%  $f_4$  European-related contamination in Oase 1. The implied estimate of nuclear contamination of around 16.7%-29.9% (95% CI) is substantially less than the estimate of around two thirds for mitochondrial DNA fragments (Table S1.3). This is not necessarily a contradiction, however, as the ratio of mitochondrial to nuclear sequences is known to fluctuate in ancient DNA libraries  $f_4$ . There is no a priori reason to expect that the contamination rate should be the same for these two compartments of the genome.

# **Supplementary Note 2**

### The Y chromosome of Oase 1

### Data processing and sex determination

Panels 1-3 included targets on both chromosome X and Y, so we could use these fragments to determine the sex of Oase 1. Because of the evidence of contamination documented in Supplementary Note 1, we restricted to deaminated fragments (Methods).

We compared the number of SNPs matching to chromosome X and Y targets in Oase 1, to the number of targeted SNPs. Table S2.1 shows that the fraction of SNPs captured is similar for chromosome X (6.2%) and chromosome Y (8.6%). We conclude that the individual is a male since we do not expect to identify sequences from the Y-chromosome if the individual is female. This is consistent with the morphology<sup>19</sup>.

Table S2.1. Number of SNPs covered at least once on chromosome X and Y.

	Chromosome X	Chromosome Y
SNPs hit at least once with a deaminated fragment	3446	2829
Targeted SNPs	55343	32768
Fraction of targeted SNPs hit	6.2%	8.6%

### Y-chromosome haplogroup

We determined the Y-haplogroup based on the ISOGG database version 10.14, which gives haplogroup assignments for a subset of SNPs on the Y chromosome (http://www.isogg.org/tree). There are 754 SNPs (out of the 15,102 in this version of the ISOGG database) that are covered at least once in Oase 1. We used these to determine the position of the Oase 1 Y chromosome in the tree based on where it carried the derived or ancestral allele. This allowed us to define Oase 1 as belonging to macrohaplogroup F (positions given in *hg19* coordinates).

### Assignment to F:

P187 (9108252 G→T); P158 (17493513 C→T)

Assignment to CF (which contains CT):

CTS6376 (16863259 C→G)

### Assignment to CT (which contains F and CF):

PF38 (3396403 C $\rightarrow$ T); M5612 (7782393 C $\rightarrow$ T); M5631 (8396636 G $\rightarrow$ A); M5632 (8526565 G $\rightarrow$ A); Z17706 (9989244 G $\rightarrow$ T); Y1525 (14074463 C $\rightarrow$ T); L957 (14079528 C $\rightarrow$ T); Y1526 (14472971 C $\rightarrow$ T); CTS3662 (15097073 G $\rightarrow$ A); CTS8542 (18077583 T $\rightarrow$ C); M5760 (18974195 C $\rightarrow$ T); L1480 (19212465 A $\rightarrow$ G); M5783 (21429988 A $\rightarrow$ G); M5786 (21650381 A $\rightarrow$ G); Z17721 (22477665 G $\rightarrow$ C); M5809 (23090404 G $\rightarrow$ A); M5812 (23105586 C $\rightarrow$ A); M5823 (23567930 C $\rightarrow$ T)

We found no evidence that Oase 1 belongs to specific sub-haplogroups of F, as it carries the ancestral allele at all previously described diagnostic mutations for these haplogroups. However, we cannot rule out the possibility that Oase 1 is derived at other SNPs that are diagnostic for these sub-haplogroups but for which Oase 1 has no coverage.



No evidence of membership in G:

S8863 (4179056: G→A); M3485 (8563874 C→T); M3486 (8600158 A→T); L154 (8614138 T→G); M3496 (9850420 C→A); M3497 (9850423 C→A); Z3248 (13460729 G→A); CTS5317 (16203361 G→C); Z3400 (18744995 T→C); M3569 (18744996 C→T); PF3083 (22272581 T→C); PF3087 (22472842 A→C); CTS10945 (22848965 A→G)

No evidence of membership in H: Z13965 (24523481  $C \rightarrow G$ )

No evidence of membership in IJ: P127 (8590752 C→T); PF3526 (8590752 C→T)

We could not test whether Oase 1 is part of macrohaplogroups GHIJK, HIJK, K or K(xLT), as none of the SNPs diagnostic for them are covered by deaminated fragments in Oase 1.

# **Supplementary Note 3**

### Relationship of Oase 1 to other genome sequences

### Oase 1 is more closely related to non-Africans than to Africans

After restricting to deaminated fragments as described in Supplementary Note 1, we computed *D*-statistics, restricting to SNPs in Panel 1-3. We show statistics both for all sites that pass the filters, and also restricting to transversions to mitigate against the possibility that ancient DNA degradation is biasing our results. Our findings from the two classes of sites are qualitatively consistent.

We first tested whether Oase 1 shares more alleles with selected African or non-African individuals using the statistic D(African, Non-African; Oase 1, Chimp). Table S3.1 shows that Oase 1 is more closely related to non-Africans: Z << -22 standard errors below 0.

Table S3.1. D-statistics of the form D(African, non-African; Oase 1, Chimp).

		Transversions only				All site	es
African	Non-African	D	<b>Z</b> -score	Sites used	D	<b>Z-score</b>	Sites used
Mbuti <sub>B</sub>	Ust'-Ishim	-0.037	-36.1	111,996	-0.038	-40.9	254,933
Mbuti <sub>B</sub>	Kostenki14	-0.039	-34.7	105,160	-0.039	-42.9	240,453
Mbuti <sub>B</sub>	MA1	-0.039	-32.5	81,016	-0.040	-42.1	186,007
Mbuti <sub>B</sub>	Loschbour	-0.037	-37.0	111,207	-0.040	-45.8	252,665
Mbuti <sub>B</sub>	LaBrana	-0.038	-34.5	107,976	-0.041	-42.7	246,895
Mbuti <sub>B</sub>	$Han_{B}$	-0.039	-40.0	112,206	-0.041	-49.6	255,550
Yoruba <sub>B</sub>	Ust'-Ishim	-0.029	-29.5	112,009	-0.030	-34.0	254,942
Yoruba <sub>B</sub>	Kostenki14	-0.031	-29.4	105,169	-0.032	-37.0	240,458
Yoruba <sub>B</sub>	MA1	-0.031	-27.3	81,023	-0.032	-34.5	186,013
Yoruba <sub>B</sub>	Loschbour	-0.029	-30.9	111,229	-0.031	-38.9	252,674
Yoruba <sub>B</sub>	LaBrana	-0.031	-29.2	107,988	-0.032	-37.0	246,902
Yoruba <sub>B</sub>	$Han_{B}$	-0.031	-33.7	112,207	-0.032	-42.2	255,554
Dinka <sub>B</sub>	Ust_Ishim	-0.024	-24.9	111,997	-0.026	-29.5	254,928
Dinka <sub>B</sub>	Kostenki14	-0.026	-24.9	105,156	-0.027	-31.0	240,440
Dinka <sub>B</sub>	MA1	-0.026	-22.5	81,018	-0.028	-29.9	186,004
Dinka <sub>B</sub>	Loschbour	-0.025	-25.1	111,220	-0.027	-32.1	252,664
Dinka <sub>B</sub>	LaBrana	-0.026	-24.1	107,976	-0.028	-30.6	246,888
Dinka <sub>B</sub>	$Han_{B}$	-0.026	-27.1	112,207	-0.028	-34.0	255,554

### Oase 1 has no evidence of affinity to other Europeans sampled to date

Extended Data 1 reports statistics of the form  $D(Non-African_1, Non-African_2; Oase 1, African)$  for all sites only; Extended Data Table 2 reports the same for transversions. Here, African refers to a pool of 6 genomes (2 Yoruba, 2 Dinka, and 2 Mbuti); East Asian to a pool of 4 genomes (2 Han and 2 Dai); and Native American to a pool of 3 genomes (2 Karitiana and 1 Mixe).

We observe that Oase 1 has no evidence of more allele sharing with ancient or present-day Europeans, than with non-Europeans, despite being from Europe. This can be seen by examining statistics of the form D(European, non-European; Oase 1, African), where we represent non-European by any of Ust'-Ishim, East Asian, Native American, or MA1. We break this finding down into two classes.

### Pre-agricultural Europeans

When the European sample is pre-agricultural (Kostenki 14 or Loschbour), the statistic is never significant after correcting for multiple hypothesis testing:  $|Z| \le 1.5$  for all sites (Fig. 1; Extended Data Table 1) and  $|Z| \le 2.3$  for transversions (Extended Data Table 2). This is not an issue of limited power, as when we perform the same analysis replacing Oase 1 with the nearly as old Kostenki 14 (using exactly the same number of SNPs), the scores are often highly significant. For example for all sites, D(Loschbour, East Asian; X, African) is Z = -0.4 when X = Oase 1, and Z = 13.7 when X = Kostenki 14. Thus, Kostenki 14 has strong evidence of being on a lineage leading to later Europeans whereas Oase 1 has none.

### Post-agricultural Europeans

When the European sample is post-agricultural (the ~7,000 years old early European farmer from Stuttgart  $^{16}$  or a pool of four present-day Europeans), the statistics are all negative and sometimes significantly so:  $-6.4 \le Z \le -2.3$  for all sites (Fig. 1; Extended Data Table 1) and  $-4.8 \le Z \le -1.4$  for transversions (Extended Data Table 2). Thus, Oase 1 shares more alleles with non-Europeans than with post-agricultural Europeans, opposite to the expectation if there was genetic continuity between Oase 1 and later Europeans (or European contamination in Oase 1). A possible explanation for this observation is that post-agricultural Europeans have ancestry from Near Eastern migrants that brought agriculture to Europe, who in turn had ancestry from a population that diverged from pre-agricultural Europeans and non-Europeans before they separated from each other. Such ancestry, which has previously been suggested  $^{6,16}$ , would be expected to bias our statistic negative, as we observe. As would be predicted based on this explanation, a negative bias of a similar magnitude is seen when we replace Oase 1 with Ust'-Ishim in the statistic:  $-5.9 \le Z \le -3.9$  for all sites (Extended Data Table 1) and  $-5.2 \le Z \le -1.3$  for transversions (Extended Data Table 2).

It is possible that with more data from Oase 1, a signal of genetic continuity with later Europeans could be detected. However, it is interesting that to the limits of our resolution, the data are consistent with Oase 1 deriving from a lineage that went extinct in Europe, contributing little or nothing to subsequent populations (unlike Kostenki 14's population).

The *D*-statistic analyses also allow us make a more general statement about the relationship of Oase 1 to other modern human genomes analyzed to date.

Fig. 1, Extended Data Table 1, and Extended Data Table 2 show that Oase 1 shares alleles at an indistinguishable rate with diverse modern humans, including East Asians (a pool of 2 Han and 2 Dai), ancient Siberians (Ust'-Ishim), and other pre-agricultural Europeans (Kostenki 14 and Loschbour). The |Z|-scores in the top half of the table are  $\leq 1.7$  for Extended Data Table 1 and  $\leq 2.3$  for Extended Data Table 2, which is not significant after correcting for multiple hypothesis testing.

We conclude that a model that fits the data, to the limits of our resolution, is that the Oase 1 lineage separated from these other Eurasian lineages around the time of their divergence from each other.

# **Supplementary Note 4**

### On the order of six to nine percent Neanderthal ancestry in Oase 1

#### **D**-statistics

We used *D*-statistics as implemented in the ADMIXTOOLS software<sup>15</sup> to test whether Oase 1 has a different proportion of Neanderthal ancestry than other ancient and present-day modern humans.

If W, X, Y, Z are 4 populations, and we randomly draw alleles in each population at each SNP i (which has non-reference allele frequencies  $w_i$ ,  $x_i$ ,  $y_i$ , and  $z_i$  in the four populations), then we are interested in two types of allele patterns:

$$p_i(BABA) = w_i(1-x_i)y_i(1-z_i) + (1-w_i)x_i(1-y_i)z_i$$

the probability that W and Y match for one allele and X and Z for the alternate allele

$$p_i(ABBA) = w_i(1-x_i)(1-y_i)z_i + (1-w_i)x_iy_i(1-z_i)$$

the probability that W and Z match for one allele and X and Y for the alternate allele

If we define

$$E[n_{BABA}] = \sum_{i=1}^{n} p_i(BABA)$$

the expected number of BABA sites over all SNPs in the dataset

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the expected number of ABBA sites over all SNPs in the dataset

We can then define

$$D(W,X;Y,Z) = \frac{E[n_{BABA}] - E[n_{ABBA}]}{E[n_{BABA}] + E[n_{ABBA}]}$$

If populations (W, X) descend from a common ancestral population since separation from (Y, Z), the statistic should be consistent with 0. If there has been gene flow between either or both of the pairs (W, Y) or (X, Z) since separation from the others, the statistic will be positive. Similarly, if there has been gene flow between either or both of the population pairs (W, Z) or (X, Y) since separation from the others, the statistic will be negative. Thus, we can test the null hypothesis of (W, X) and (Y, Z) being clades by testing whether the statistic is consistent with zero.

We use a Weighted Block Jackknife<sup>20</sup> with a block size of 5 million base pairs (5 Mb) to compute standard errors, as implemented in ADMIXTOOLS.

For the analyses that follow, we pool data from Panels 1-3. After restricting to deaminated fragments, Oase 1 has 271,326 SNPs covered at least once, of which 118,938 are transversions. These numbers are not discrepant with the 242,122 SNPs reported in Extended Data Table 1, and the 106,005 SNPs reported in Extended Data Table 2, which correspond to the SNPs with coverage not just in Oase 1 but also in Kostenki 14, Ust'-Ishim, Loschbour and diverse present-day genomes.

### Neanderthal ancestry in Oase 1

To determine whether Oase 1 has Neanderthal ancestry, we first computed the statistic D(X, African; Altai Neanderthal, Chimp) (Table S4.1). Oase 1 has evidence of more allele sharing with Neanderthals than with a pool of six sub-Saharan Africans (Z=7.7). The magnitude of the D-statistic is higher than that in other modern humans (D=0.0051 compared to D=0.0016-0.0031 for all others analyzed), suggesting the possibility that Oase 1 might have more Neanderthal ancestry than the others.

Table S4.1. D(X, African; Altai, Chimp) restricted to transversions

X	D	Z-score	Sites used
Oase 1	0.0051	7.7	112,146
Ust'-Ishim	0.0025	8.1	1,035,603
Kostenki14	0.0031	9.7	913,271
MA1	0.0028	7.6	691,429
Loschbour	0.0022	7.3	1,030,375
Stuttgart	0.0022	7.9	1,025,311
Han <sub>B</sub>	0.0021	6.9	1,037,648
Dai <sub>B</sub>	0.0021	7.2	1,037,582
French <sub>B</sub>	0.0016	6.1	1,037,637
Sardinian <sub>B</sub>	0.0023	8.0	1,037,664
Papuan <sub>B</sub>	0.0026	8.2	1,037,556

Table S4.2. D(Neanderthal<sub>1</sub>, Neanderthal<sub>2</sub>; Test, Outgroup).

This analysis uses Panels 1-3 SNP data restricting to transversions.

			Chimp = Outgroup			Afric	a = Out	group
Neand <sub>1</sub>	Neand <sub>2</sub>	Test	D	Z	Sites	D	Z	Sites
Mezmaiskaya	Vindija	Oase 1	0.0005	0.5	11,854	0.0007	1.1	12,230
Mezmaiskaya	Vindija	Ust'-Ishim	0.0016	4.3	71,792	0.0007	3.1	73,776
Mezmaiskaya	Vindija	Kostenki 14	0.0013	3.2	67,039	0.0004	1.3	68,891
Mezmaiskaya	Vindija	MA1	0.0022	4.7	51,492	0.0012	3.8	52,912
Mezmaiskaya	Vindija	Loschbour	0.0018	4.9	71,339	0.0009	4.1	73,296
Mezmaiskaya	Vindija	LBK	0.0019	5.2	71,202	0.0010	4.5	73,156
Mezmaiskaya	Vindija	Han <sub>B</sub>	0.0015	4.0	71,920	0.0006	2.8	73,908
Mezmaiskaya	Vindija	French <sub>B</sub>	0.0017	4.6	71,918	0.0008	3.5	73,906
Mezmaiskaya	Altai	Oase 1	0.0012	1.4	17,297	0.0007	1.2	17,879
Mezmaiskaya	Altai	Ust'-Ishim	0.0028	9.1	113,097	0.0009	4.5	116,294
Mezmaiskaya	Altai	Kostenki 14	0.0026	7.6	104,617	0.0008	3.6	107,591
Mezmaiskaya	Altai	MA1	0.0032	8.1	80,054	0.0012	4.5	82,315
Mezmaiskaya	Altai	Loschbour	0.0031	10.0	112,348	0.0012	5.9	115,515
Mezmaiskaya	Altai	LBK	0.0032	10.0	112,090	0.0013	6.5	115,232
Mezmaiskaya	Altai	Han <sub>B</sub>	0.0032	10.3	113,315	0.0013	6.6	116,521
Mezmaiskaya	Altai	French <sub>B</sub>	0.0034	10.7	113,311	0.0015	7.4	116,519
Vindija	Altai	Oase 1	0.0009	2.7	75,540	0.0001	0.5	77,679
Vindija	Altai	Ust'-Ishim	0.0007	4.3	599,737	0.0000	0.3	614,897
Vindija	Altai	Kostenki 14	0.0008	4.9	541,699	0.0002	1.4	555,515
Vindija	Altai	MA1	0.0007	4.0	412,864	0.0001	0.7	423,304
Vindija	Altai	Loschbour	0.0007	4.7	596,711	0.0001	1.2	611,709
Vindija	Altai	LBK	0.0007	4.2	594,522	0.0000	0.4	609,482
Vindija	Altai	$Han_B$	0.0009	6.0	600,664	0.0003	2.8	615,882
Vindija	Altai	French <sub>B</sub>	0.0009	5.8	600,649	0.0003	2.6	615,871

We also tested if archaic humans share more alleles with Oase 1 or with other non-Africans ("Test") using the statistic D(Test, Oase 1; Archaic, Outgroup). Here, the Archaic individual is either the Altai Neanderthal or the Siberian Denisovan, and Outgroup is either chimpanzee or a pool of 6 sub-Saharan Africans. We restricted to transversions for this analysis in order to not be biased by the high rate of deamination in the archaic genomes. Extended Data Table 3 shows that Oase 1 shares significantly more derived alleles with the Neanderthal genome than with any other modern human individual tested, both when using the chimpanzee (-3.6  $\geq$   $Z \geq$  -6.9) and when using a Mbuti African (-4.7  $\geq$   $Z \geq$  -8.2) as outgroups. Using the Siberian Denisovan genome to represent the Archaic individual, the signal is weaker but present, as

expected for a scenario in which Oase 1 derives ancestry from Neanderthals, but not Denisovans (Denisovans are distantly related to Neanderthals).

We also tested if Oase 1 shares more derived alleles with a particular Neanderthal individual than with others. The affinity to different Neanderthals in Oase 1 is consistent with what has been observed in other modern humans studied to date, in the sense that the *D*-statistics are of consistent magnitude (Table S4.2). However, there is less data for Oase 1, and thus we may not have enough resolution to detect the differences in the Neanderthal population that contributed to Oase 1 compared to other samples, even if such differences exist.

### Estimates of Neanderthal ancestry proportion using $f_4$ -ratio statistics

To estimate the proportion of the Oase 1 genome that derives from Neanderthals, we use three different ratios of  $f_4$ -statistics<sup>15</sup> that exploit different parts of the historical relationships among the samples (Table 1 and Extended Data Table 4).

Statistic 1. The numerator is a quantity proportional to the correlation in the allele frequency difference between a test modern human and sub-Saharan African modern humans on the one hand, and Altai and Denisova on the other. We divide this by the same statistic substituting a test sample with the Mezmaiskaya Neanderthal<sup>21</sup>. This statistic assumes that the Neanderthal that introgressed into the ancestors of the tested modern human sample is a sister group to Mezmaiskaya; if this is wrong the estimate has the potential to be biased.

Statistic 2. This is computed as 1 minus an estimate of modern human ancestry. To obtain an estimate of modern human ancestry, we use a statistic whose numerator is proportional to the correlation in allele frequency difference between a test sample on the one hand and an archaic sample, and a sub-Saharan African and chimpanzee on the other. If the test sample is an archaic individual from the Neanderthal/Denisova clade, this statistic has an expectation of zero. We then divide by the same quantity replacing the test with Dinka, which is an approximate clade with non-African populations relative to other sub-Saharan Africans, so that the quantity is what is expected for a modern human with little or no Neanderthal ancestry<sup>8</sup>. An appealing feature of this statistic is that it works equally well if Altai or Denisova is used as the archaic (we used the Denisovan genome). The statistic also does not assume any relationship among the Neanderthals, contrasting with Statistic 1. This statistic is similar to Equation S8.5 of ref.<sup>22</sup>.

Statistic 3. This statistic was introduced in ref.<sup>22</sup>. The numerator is proportional to the correlation in allele frequency difference between a test sample and a sub-Saharan African, and Denisovan with chimpanzee. We divide by the same quantity for a 100% archaic individual. The result has a higher standard error than Statistics 1 and 2, but has the appealing feature that it does not assume any relationships among the Neanderthals.

All three statistics indicate that Oase 1 has a higher proportion of Neanderthal ancestry than the other genomes tested. For all sites, the point estimates are 6.0% to 9.4%, and for Statistic 2 the lower bound of the ancestry estimate for Oase 1 excludes the upper bound for all other modern humans we analyzed (Table 1). For transversions only, the point estimates are 8.4% to 11.3%, and for both Statistic 1 and Statistic 2, the lower bound of the estimate for Oase 1 excludes the upper bound for all other modern humans we analyzed (Extended Data Table 4).



# **Supplementary Note 5**

### Oase 1 had a Neanderthal ancestor four to six generations back

### SNPs indicative of Neanderthal ancestry

From the 1,749,385 SNPs targeted in the archaic probe set (Panel 4), we selected 954,849 SNPs where at least one Neanderthal allele differs from the majority of Yoruba (thus excluding SNPs differing only between the Denisovan genome and Yoruba).

We identified a total of 87,803 sites covered by at least one deaminated fragment in Oase at the first 5' and last two 3' bases in the UDG-treated libraries and the terminal three bases in the non-UDG-treated libraries (Extended Data Table 8). We then further restricted to sites that also had coverage in Ust'-Ishim, Kostenki 14, as well as in the Han, French and Dinka individuals from Panel B of Extended Data Table 9. This left 78,055 SNPs.

Oase 1 was always represented by a single allele (the majority call of the analyzed fragments) at each of these SNPs, which contrasted with the five other modern humans which were represented by high quality genomes with diploid genotype calls. To make the analyses comparable, we randomly sampled one of the two alleles for each of these five individuals. We then scored each SNP as 1 for carrying the Yoruba allele or 0 for the Neanderthal allele.

The sum of alleles matching Neanderthal for the 78,055 sites for each individual is shown in Extended Data Table 5. Oase 1 has a higher sum than the other individuals, consistent with having a higher proportion of Neanderthal ancestry (Supplementary Note 4). The extent of this excess is highly variable across chromosomes (Extended Data Table 5).

The Dinka, a sub-Saharan African population, are thought to have little or no Neanderthal ancestry<sup>21</sup>. Thus, we hypothesized that we could interpret the 485 alleles matching Neanderthal in the Dinka individual as an estimate of the false-positive rate, that is, the fraction of sites in the panel of SNPs we are analyzing that are expected to carry the derived allele just by chance, without reflecting Neanderthal ancestry. We therefore subtracted the number of derived alleles in Dinka from that in the other individuals, and hypothesized that the residual rate of derived alleles in the other genomes is proportional to Neanderthal ancestry. Assuming that the French individual has 2.0% Neanderthal ancestry, we infer 7.3% Neanderthal ancestry in Oase 1, 3.1% in Ust'-Ishim, and 2.6% in Han. These numbers are similar to those in Supplementary Note 4, Table 1, and Extended Data Table 4, and continue to support the finding of more Neanderthal ancestry in Oase 1.

### Large stretches of Neanderthal ancestry in Oase 1

Fig. 2 shows the physical distribution across the autosomes (chromosomes 1-22) of alleles where a randomly sampled allele from a modern human (Oase 1, Ust'-Ishim, Kostenki 14, Han, French and Dinka) matches Neanderthal rather than the majority of Yoruba.

It is visually evident that there are large stretches of the autosomes where there are high rates of alleles matching Neanderthal. For example, we observe segments of high rates of Neanderthal matching on chromosome 5 and chromosome 12 that are at least 50 million base pairs (Mb) in size. These stretches are far larger even than the largest segments reported previously in Ust'-Ishim which are up to 6 Mb<sup>6</sup>.

To identify the endpoints of likely large stretches of Neanderthal ancestry in Oase 1, we wrote an algorithm for calling chunks. The core machinery of this algorithm is a Hidden Markov Model (HMM), but no care is taken to make the parameters meaningful from a probabilistic point of view, so the posterior decoding probabilities that emerge should not be literally interpreted as estimates of introgression probability. The algorithm works as follows:

- (1) Bin each of the chromosomes into 2 million base pair non-overlapping chunks.
- (2) Restrict analysis to bins with at least 20 sites with data. This leaves 1,314 bins.
- (3) Within each bin in each individual, report a single number, the fraction *f* of SNPs in the bin where the allele representing that individual is derived.
- (4) Run an HMM using the same engine as described in Supplementary Note 13 of <sup>21</sup>, where:

```
t = \text{minimum } f for which we view the chunk as giving evidence of introgression p_{nea} = \text{probability} that the chunk is Neanderthal introgressed conditional on f \ge t p_{mod} = \text{probability} that the chunk is Neanderthal introgressed conditional on f < t s = \text{switch} rate between Neanderthal and modern human chunks per base pair q = \text{prior} probability that the chunk is Neanderthal introgressed
```

We ran this procedure over a grid of values of t,  $p_n$ ,  $p_m$  and s, and manually chose a parameter combination that resulted in a high fraction of the genome being called with confidence as either modern human or Neanderthal. The parameters used were:

```
t = 0.1

p_{nea} = 0.8

p_{mod} = 0.05

s = 20,000,000 base pairs q = 0.1
```

This produced a posterior decoding in which 90.9% of the genome had a value of <0.1 (little evidence of a large chunk of Neanderthal ancestry), and 7.5% > 0.8.

The Oase 1 "smoothed" track in Figure 1 shows yellow coloring at each position where the posterior decoding has a value of >0.5. The seven intervals are given in Table S5.1. We make no claim that these are the only segments of very recent Neanderthal introgression in Oase 1. We only claim that they are some of the most easily recognizable.

Table S5.1. Coordinates of seven segments of very recent Neanderthal introgression

Chromosome	Start	Stop	Physical span (Mb)	Genetic span (cM)
4	90.094	115.953	25.859	22.329
5	85.046	147.953	62.908	53.507
6	6.005	17.957	11.952	20.447
6	72.044	88	15.956	9.229
9	0.257	27.978	27.721	52.801
9	88.025	113.983	25.958	30.058
12	26.037	91.946	65.909	57.703

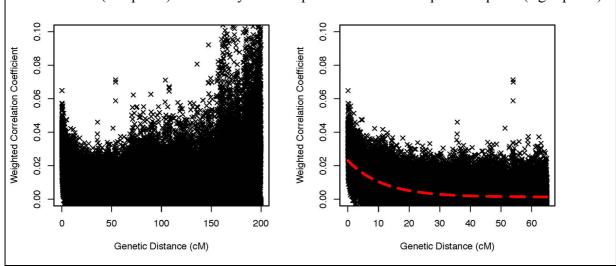
### Dating the most recent Neanderthal admixture into the ancestors of Oase 1

Admixture between populations induces correlation in ancestry across the genome of an admixed individual, and the extent of this correlation is informative about the time since mixture <sup>23-25</sup>. As in<sup>6</sup> we use this signal to estimate the date of Neanderthal introgression.

### Method A – Fitting an exponential decay

We use the Oxford combined genetic map<sup>26</sup> and calculate the average covariance over all pairs of SNPs in 0.001 cM bins that carry Neanderthal alleles as defined above. The exponential decay gets lost in noise around 65 cM (Figure S5.1) and thus we fit to this point.

**Figure S5.1.** Pairwise covariance for SNPs that match the ascertainment scheme in which Neanderthal carries different alleles from Africans. The decay gets lost in noise beyond around 65 cM (left panel) so we only fit an exponential function up to this point (right panel).



Assuming a single pulse of admixture, we fit an exponential function  $(y = Ae^{-(n-1)d} + c)$ , where n = number of generations since Oase 1 had a Neanderthal ancestor and d = genetic distance (in Morgans). Figure S5.1 shows the covariance curves. We compute standard errors using a Weighted Block Jackknife<sup>20</sup>, removing one chromosome in each run and studying the variability in the estimated dates of mixture. Using this method, we estimate that Oase 1 had Neanderthal ancestors  $8.1 \pm 5.5$  generations back in his family tree.

This estimated date of admixture is an average of both the most recent Neanderthal admixture into the ancestors of Oase 1, and older admixture that was perhaps shared with other non-Africans. We did not succeed in fitting the data as a mixture of two exponential distributions. We therefore turned to alternative methods that focused on dating the most recent mixture.

### Method B – Fitting the distribution of large chunks

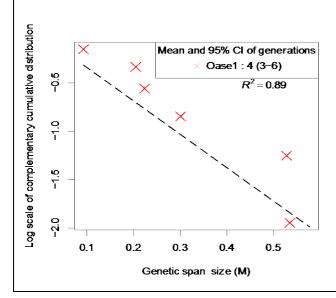
Because the chunks of Neanderthal introgression are so large that we can reasonably infer the positions of the largest chunks, and because we are interested specifically in the admixture that gave rise to the largest chunks, we reasoned that it might be valid to simply determine the sizes of the large chunks, and then to fit a distribution to them. For this analysis, we use the seven chunks identified by the HMM, whose positions are listed in Table S5.1.

We assume that the chunks resulting from the most recent admixture event have a distribution  $y = Ae^{-(n-1)d}$ , where n = number of generations since Oase 1 had a Neanderthal ancestor and d = genetic distance (in Morgans). The fact that the exponent has the quantity

(n-1) in it reflects the fact that Neanderthal and modern human chromosomes are only expected to begin to be observed in recombinant form in the second generation after admixture (in the first generation after admixture, each individual has one entirely Neanderthal and one entirely modern human chromosome at each locus).

Taking the natural logarithm of this distribution, we get ln(y) = -(n-1)d + ln(A). Figure S5.2 shows the cumulative distribution of the number of chunks, plotted on a log-scale to allow a linear fit. The slope of this plus 1 translates to a date of admixture. The resulting fit is good ( $R^2$ =0.89), and has a slope corresponding to an admixture date of 4 generations ago (95% confidence interval obtained by linear regression of 3-6 generations ago).

**Figure S5.2 Fraction of the Oase 1 genome comprised of the seven largest chunks.** The slope of the curve is expected to be (n-1), and can be used to estimate the number of generations since Oase 1 had his most recent Neanderthal ancestor.



Method C - Probability of the observed spans of the largest Neanderthal chunks
We examined the expected distribution of the largest chunks of introgression, including the 1
largest, 2 largest, 3 largest, and 4 largest, for different time depths of introgression. We reasoned that the genetic spans of the largest chunks might be robust statistics because:

- We likely have good power to recognize the largest chunks, but may have imperfect power to recognize the smaller chunks. Thus, fitting a model to the largest chunks may be more robust than fitting a model including some smaller chunks. As chunk sizes become smaller, it becomes increasingly possible that we have missed chunks of similar size that are real and hence our measurement of the distribution is less likely to be accurate.
- We are interested here in studying the most recent Neanderthal introgression. When we include shorter chunks in the analysis, we expect to see a size range where older Neanderthal introgression events may be contributing to the observed patterns, and thus our estimate of the date may be higher than that of the most recent mixture.

We carried out a series of simulations that fragmented the genome generation by generation assuming the empirically measured sex-averaged genetic map (using the map from<sup>27</sup>).

Table S5.2 reports the results of this simulation study, showing the number of generations since mixture that produces a 95% confidence interval of average chunk size for the top k chunks that includes our empirical observation. We observe that for just the top chunk (k=1), Oase 1 is consistent with having Neanderthal admixture 4-8 generations in the past. For averages of the top 1-2 (k=2), 1-3 (k=3), and 1-4 (k=4) chunks, Oase 1 is consistent with having Neanderthal admixture 4-6 generations ago. We conclude that the most recent admixture likely occurred 4-6 generations ago.

Table S5.2. Average size of the k largest chunks for different numbers of generations since mixture. We carried out 10,000 simulations for each parameter combination (number of generations since mixture, and number k of the largest chunks being averaged), and give the 95% credible interval. Gray boxes include the empirical value.

Generation	k=1	k=2	k=3	k=4
1	270-271	263-264	248-249	237-238
2	128-271	122-231	115-210	111-196
3	72-206	67-172	63-152	59-138
4	41-159	37-127	34-109	31-97
5	22-122	19-95	16-80	15-70
6	9-95	8-71	7-59	7-51
7	2-75	3-54	4-44	5-39
8	1-60	2-43	3-36	4-32
9	0-49	1-35	2-30	3-27
10	0-42	1-31	2-26	3-24
Empirical	58	56	55	49

We can compare the estimated date of Neanderthal mixture obtained from the chunk size distribution to that needed to produce the observed genome-wide proportion of Neanderthal ancestry if all the Neanderthal admixture occurred recently. The average proportions of Neanderthal ancestry expected to be contributed by ancestors at different time depths of admixture are given in Table S5.3. Assuming that all the extra Neanderthal ancestry in Oase 1 was contributed by a single recent ancestor, it would be expected from Table S5.3 that this ancestor lived 3-5 generations back. This overlaps with the estimates from chunk span estimates: 3-6 generations back by Method B and 4-6 generations back by Method C.

Table S5.3. Average proportion of Neanderthal ancestry expected to be contributed by a Neanderthal ancestor different numbers of generation ago

Generations back	Ancestor	Proportion Neanderthal
1	Parent	50%
2	Grandparent	25%
3	Great-grandparent	12.5%
4	Great-great-grandparent	6.25%
5	Great-great-grandparent	3.13%
6	Great-great-great-grandparent	1.56%

We conclude that it is likely that Neanderthal admixture happened 4-6 generations ago. In other words, Oase 1 possibly had a Neanderthal great-great-grandparent and certainly had a Neanderthal great-great-great-great-grandparent.

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